

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

BROOMPARK INVESTMENTS LTD

Website: www.b-pll.com

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/overseas.shtml>

On its website, Broompark Investments Ltd gives an FSA number 143715 which refers to the FSA authorised firm Cave & Sons Ltd (UK Companies House Number: 01916615). Cave & Sons Ltd has **no association whatsoever** with the organisation identifying itself as Broompark Investments Ltd.

The UK-incorporated firm Broompark Investments Ltd (UK Companies House Number: SC070389) also has **no association whatsoever** with the entity above identifying itself as Broompark Investments Ltd:

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.